

Regulatory Compliance Manager

The Regulatory Compliance Manager is responsible for all aspects of the consumer compliance program to ensure Piermont Bank adheres to legal and in-house standards while keeping abreast of all regulatory developments and requirements.

- Updating the annual compliance risk assessment and monitoring plan.
- Conducting ongoing compliance monitoring reviews for the Bank's deposit and lending functions.
- Conducting ongoing compliance monitoring reviews for fintech relationships as a part of the Bank's Banking as a service (BaaS) program.
- Managing and monitoring the complaint log.
- Reviewing marketing materials for compliance with applicable laws and regulations.
- Helping develop and maintain of best-in-class compliance program, policies and practices.
- Providing guidance to the business units on relevant compliance issues and regulatory expectations.
- Managing compliance examinations and audits. regulatory inquires, liaise with business partners in providing requested information.
- Managing compliance-related initiatives as required, such as the implementation of new compliance systems, controls, and related project management efforts.

About You:

- Bachelor's degree required with 5 years of proven and progressive experience in a compliance role with a financial institution and compliance environment.
- Extensive knowledge in consumer compliance laws and regulations
- Ability to form effective relationships and achieve influence at the most senior level in the organization.
- Strong track record of effectively assessing and managing competing priorities to deliver high quality and timely work
- Proactive, motivated, strong attention to detail, and proven track record in building good working relationships
- Proven manager with strong interpersonal skills
- Ability to work independently as well as in a team environment.
- An understanding of when issues require escalation.
- Working knowledge of the Microsoft Office Suite of products.
- Analytical writing skills.
- Strong verbal and written communication skills.
- CRCM certification would be a plus.

The hiring range for this position is \$100,000 to \$120,000 per year. The base pay actually offered will take into account internal equity and also may vary depending on the candidate's job-related knowledge, skills, and experience, among other factors. A bonus and/or incentives may be provided as part of the compensation package, in addition to the full range of medical, dental, vision, 401k and other benefits.

Piermont Bank is an equal-opportunity employer. We celebrate diversity and are committed to creating an inclusive environment for all employees. We do not discriminate based upon race, religion, color, national origin, political affiliation, gender (including pregnancy, childbirth, or related medical conditions), sexual orientation, gender identity, gender expression, age, status as a protected veteran, status as an individual with a disability or other applicable legally protected characteristics.